

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 10/042,371 Confirmation No.: 6761
Applicant: Andrew C. Gilbert (deceased)
Title: CREATING AND TRADING DYNAMIC SECURITIES
Filed: December 27, 2001
Art Unit: 3692
Examiner: Chuks N Onyezia

Atty. Docket: 01-1046
(note new docket number)
Customer No. 63710

AMENDMENT AND RESPONSE TO OFFICE ACTION

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicant hereby responds to the Office Action of November 9, 2006. Kindly amend the application as follows.

AMENDMENT TO THE TITLE begin on page 2 of this paper.

AMENDMENTS TO THE CLAIMS begin on page 3 of this paper.

REMARKS/ARGUMENTS begin on page 8 of this paper.